

ALTERNATIVE TO PTO/SB/08A/B (09/06)

|                                                                                                   |   |    |   |                          |                        |
|---------------------------------------------------------------------------------------------------|---|----|---|--------------------------|------------------------|
| Substitute for form 1449/PTO                                                                      |   |    |   | <b>Complete If Known</b> |                        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number       | 09/998,474-Conf. #7788 |
|                                                                                                   |   |    |   | Filing Date              | November 29, 2001      |
|                                                                                                   |   |    |   | First Named Inventor     | Oscar A. Chappel       |
|                                                                                                   |   |    |   | Art Unit                 | 3623                   |
|                                                                                                   |   |    |   | Examiner Name            | R. Jeanty              |
| Sheet                                                                                             | 1 | of | 1 | Attorney Docket Number   | 92717-00322USPT        |

| U.S. PATENT DOCUMENTS |                          |                                          |                                |                                                    |  |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|--|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |  |
|                       |                          | Number-Kind Code <sup>2</sup> (If known) |                                |                                                    |  |
|                       | A1*                      | US-20010037224-A1                        | 11-01-2001                     | Eldridge et al.                                    |  |
|                       | A2*                      | US-5,523,942                             | 06-04-1996                     | Tyler et al.                                       |  |
|                       | A3*                      | US-20030130991-A1                        | 07-10-2003                     | Reijerse et al.                                    |  |
|                       | A4*                      | US-6,725,287                             | 04-20-2004                     | Loeb et al.                                        |  |
|                       | A5*                      | US-6,311,173                             | 10-30-2001                     | Levin et al.                                       |  |
|                       | A6*                      | US-5,488,714                             | 01-30-1996                     | Skidmore                                           |  |
|                       | A7*                      | US-6,061,506                             | 05-09-2000                     | Wollaston et al.                                   |  |
|                       | A8*                      | US-20040122709-A1                        | 06-24-2004                     | Avinash et al.                                     |  |

| FOREIGN PATENT DOCUMENTS |                          |                                                                                   |                                   |                                                    |                |
|--------------------------|--------------------------|-----------------------------------------------------------------------------------|-----------------------------------|----------------------------------------------------|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document                                                           | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |                |
|                          |                          | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known) |                                   |                                                    |                |
|                          |                          |                                                                                   |                                   |                                                    | T <sup>6</sup> |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

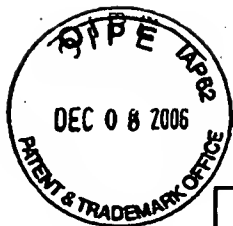
| NON PATENT LITERATURE DOCUMENTS |                          |                                                                                                                                                                                                                                                                 |  |  |
|---------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |  |
|                                 |                          |                                                                                                                                                                                                                                                                 |  |  |
|                                 | C1                       | Sosa, Manuel E. et al., "Understanding the Effects of Product Architecture on Technical Communication in Product Development Organizations, Massachusetts Institute of Technology, Sloan School of Management Working Paper, August 2000 (pages 1-34).          |  |  |
|                                 | C2                       | Allen, Thomas, "Architecture and Communication Among Product Development Engineers", Proceedings of the IEEE Management Society, 2000 (pages 153-158)                                                                                                           |  |  |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |  |                    |           |
|-----------------------|--|--------------------|-----------|
| Examiner<br>Signature |  | Date<br>Considered | 9/10/2007 |
|-----------------------|--|--------------------|-----------|

DALLAS2 1216117v1 92717-00322



ALTERNATIVE TO PTO/SB/08A/B (09/06)

|                                                                                                                                       |   |    |   |                          |                        |
|---------------------------------------------------------------------------------------------------------------------------------------|---|----|---|--------------------------|------------------------|
| Substitute for form 1449/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | <b>Complete If Known</b> |                        |
|                                                                                                                                       |   |    |   | Application Number       | 09/998,474-Conf. #7788 |
|                                                                                                                                       |   |    |   | Filing Date              | November 29, 2001      |
|                                                                                                                                       |   |    |   | First Named Inventor     | Oscar A. Chappel       |
|                                                                                                                                       |   |    |   | Art Unit                 | 3623                   |
|                                                                                                                                       |   |    |   | Examiner Name            | R. Jeanty              |
|                                                                                                                                       |   |    |   | Attorney Docket Number   | 92717-00322USPT        |
| Sheet                                                                                                                                 | 1 | of | 1 |                          |                        |

| U.S. PATENT DOCUMENTS |                          |                                          |                                |                                                    |
|-----------------------|--------------------------|------------------------------------------|--------------------------------|----------------------------------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number                          | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |
|                       |                          | Number-Kind Code <sup>2</sup> (if known) |                                |                                                    |
|                       |                          |                                          |                                |                                                    |

| FOREIGN PATENT DOCUMENTS |                          |                                                                     |                                   |                                                    |                |
|--------------------------|--------------------------|---------------------------------------------------------------------|-----------------------------------|----------------------------------------------------|----------------|
| Examiner<br>Initials*    | Cite<br>No. <sup>1</sup> | Foreign Patent Document                                             | Publication<br>Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | T <sup>4</sup> |
|                          |                          | Country Code <sup>3</sup> -Number-Kind Code <sup>2</sup> (if known) |                                   |                                                    |                |
|                          |                          |                                                                     |                                   |                                                    |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind's Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                          |                                                                                                                                                                                                                                                                 |                |
|---------------------------------|--------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner<br>Initials*           | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|                                 |                          |                                                                                                                                                                                                                                                                 |                |
|                                 | C1                       | MCCORD, Kent R. et al., "Managing the Integration Problem in Concurrent Engineering", MIT Sloan School of Management, August 1993, pages 1-34                                                                                                                   |                |
|                                 | C2                       | THOMAS, Stephen Richard, "An Assessment Tool for Improving Project Team Communications", Dissertation, University of Texas at Austin, December 1996, 80 pages                                                                                                   |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                       |  |                    |           |
|-----------------------|--|--------------------|-----------|
| Examiner<br>Signature |  | Date<br>Considered | 9-10-2007 |
|-----------------------|--|--------------------|-----------|

DALLAS2 1211366v1 92717-00322



ALTERNATIVE TO PTO/SB/08A/B (09/06)

|                                                                                                   |   |    |   |                          |                        |
|---------------------------------------------------------------------------------------------------|---|----|---|--------------------------|------------------------|
| Substitute for form 1449/PTO                                                                      |   |    |   | <b>Complete if Known</b> |                        |
| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(Use as many sheets as necessary) |   |    |   | Application Number       | 09/998,474-Conf. #7788 |
|                                                                                                   |   |    |   | Filing Date              | November 29, 2001      |
|                                                                                                   |   |    |   | First Named Inventor     | Oscar A. Chappel       |
|                                                                                                   |   |    |   | Art Unit                 | 3623                   |
|                                                                                                   |   |    |   | Examiner Name            | R. Jeanty              |
| Sheet                                                                                             | 1 | of | 1 | Attorney Docket Number   | 92717-00322USPT        |

| U.S. PATENT DOCUMENTS |                       |                                                             |                                |                                                    |
|-----------------------|-----------------------|-------------------------------------------------------------|--------------------------------|----------------------------------------------------|
| Examiner Initials*    | Cite No. <sup>1</sup> | Document Number<br>Number-Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document |
| [Signature]           | A1*                   | US-20010037224-A1                                           | 11-01-2001                     | Eldridge et al.                                    |
|                       | A2*                   | US-5,523,942                                                | 06-04-1996                     | Tyler et al.                                       |
|                       | A3*                   | US-20030130991-A1                                           | 07-10-2003                     | Reijerse et al.                                    |
|                       | A4*                   | US-6,725,287                                                | 04-20-2004                     | Loeb et al.                                        |
|                       | A5*                   | US-6,311,173                                                | 10-30-2001                     | Levin et al.                                       |
|                       | A6*                   | US-5,488,714                                                | 01-30-1996                     | Skidmore                                           |
|                       | A7*                   | US-6,061,506                                                | 05-09-2000                     | Wollaston et al.                                   |
|                       | A8*                   | US-20040122709-A1                                           | 06-24-2004                     | Avinash et al.                                     |

| FOREIGN PATENT DOCUMENTS |                       |                                                                                   |                  |                                                 |                |
|--------------------------|-----------------------|-----------------------------------------------------------------------------------|------------------|-------------------------------------------------|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document                                                           | Publication Date | Name of Patentee or Applicant of Cited Document | T <sup>6</sup> |
|                          |                       | Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known) | MM-DD-YYYY       |                                                 |                |
|                          |                       |                                                                                   |                  |                                                 |                |

\*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS |                       |                                                                                                                                                                                                                                                                 |                |
|---------------------------------|-----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Examiner Initials*              | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
| [Signature]                     | C1                    | Sosa, Manuel E. et al., "Understanding the Effects of Product Architecture on Technical Communication in Product Development Organizations, Massachusetts Institute of Technology, Sloan School of Management Working Paper, August 2000 (pages 1-34).          |                |
|                                 | C2                    | Allen, Thomas, "Architecture and Communication Among Product Development Engineers", Proceedings of the IEEE Management Society, 2000 (pages 153-158)                                                                                                           |                |

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

|                    |                      |                 |         |
|--------------------|----------------------|-----------------|---------|
| Examiner Signature | <i>Romain Jeanty</i> | Date Considered | 9-10-07 |
|--------------------|----------------------|-----------------|---------|

DALLAS2 1216117v1 92717-00322